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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/868,694	
	<b>Filing Dat</b>	February 8, 1999	
	<b>First Nam d Inv nt r</b>	Bertrand	
	<b>Group Art Unit</b>		
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>	1	<b>Attorney Docket Number</b>	05222.00153

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Form 1449</b> <b>Return Receipt Postcard</b>
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
<b>Firm or Individual name</b>	Charles L. Miller Banner & Witcoff, Ltd.
<b>Signature</b>	
<b>Date</b>	February 4, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>			
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75-2-27-02-LJ



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 05222.00153)

In the Application of: )  
)  
Bertrand, et al. )  
) Group Art Unit: n/a  
Serial No.: 09/868,694 )  
) Examiner: n/a  
Filed: February 8, 1999 )  
)  
For: System, Method and Article of )  
Manufacture for ..... )

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, applicants wish to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims. A completed Form -1449 is enclosed. Due to the large number of references and large number of related applications, the Applicant filed a single copy of all of the references in U.S. patent Application Serial No. 09/219,478 in an Information Disclosure Statement filed February 7, 2002. U.S. Patent Application Serial No. 09/219,478 is assigned to Examiner Ingberg in Group Art Unit 2122.

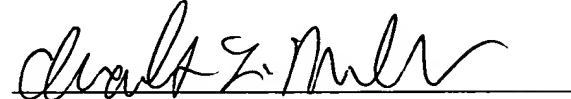
A Protest under 37 C.F.R. 1.291 was filed in U.S. Patent Application Serial No. 09/219,478. The Applicant is attaching a copy of the Protest, as well as a Response filed in the same application by the Applicant. The prosecution of U.S. Patent Application Serial No. 09/219,478 remains open. The Protest and Response are attached as a courtesy to the Examiner, but are not being listed on the attached Form-1449, because they are not prior art references.

This Information Disclosure Statement is being filed within three months of the application filing date or before the mailing of a first Office Action on the merits, accordingly, no fee or separate requirements are required. However, if a fee is due in

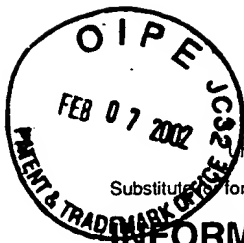
connection with this filing, please charge any fees or credit overpayment associated with this paper to Deposit Account No. 01-0850

Respectfully submitted,  
BANNER & WITCOFF, LTD.

Date: February 7, 2002

A handwritten signature in dark ink, appearing to read "Charles L. Miller", is written over a horizontal line.

Charles L. Miller  
Reg. No. 43,805



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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number : 09/868,694

Filing Date: 02/08/1999

First Named Inventor: Bertrand

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 05222.00153

(Use as many sheets as necessary)

Sheet \_\_\_\_\_ of \_\_\_\_\_

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-4,622,013	11/11/1986	Cherchio	
		US- 4,874,784	10/17/1989	Clancey	
		US- 4,981,766	01/02/1990	Derr, et al.	
		US- 4,931,950	06/05/1990	Isle, et al.	
		US- 4,964,077	10/16/1990	Eisen, et al.	
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		US- 5,002,491	03/26/1991	Abrahamson, et al.	
		US- 5,170,464	12/08/1992	Hayes, et al.	
		US- 5,189,402	02/23/1993	Naimark, et al.	
		US- 5,208,745	05/04/1993	Quentin, et al.	
		US- 5,208,898	05/04/1993	Funabashi, et a	
		US- 5,239,617	08/24/1993	Gardner, et al.	
		US- 5,259,766	11/09/1993	Sack et al.	
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		US- 5,310,349	05/10/1994	Daniels, et al.	
		US- 5,311,422	05/10/1994	Lofin, et al.	
		US- 5,317,688	05/31/1994	Watson, et al.	
		US- 5,326,270	07/05/1994	Ostby, et al.	
		US- 5,359,701	10/25/1994	Chiang, et al.	
		US- 5,372,507	12/13/1994	Goleh	

FOREIGN PATENT DOCUMENTS					
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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)			
		EP 0 689 132 A2	12/27/95	Laffra	
		EP 0 710 942 A2	05/08/96	Siefert	
		EP 0 710 942 A2	05/08/96	Siefert	
		EP 0 798 655 A2	10/01/97	Jervis, et al.	
		WO 00/04478	01/27/00	Jonsson	
		WO 97/44766	11/27/97	Cook	
		WO 98/03953	01/29/98	Simmons	
		WO 98/25251	06/11/98	Ho	
		WO 98/32109	07/23/98	De Lange	

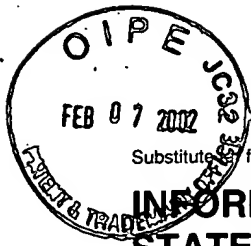
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<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Application Number : 09/868,694

Filing Date: 02/08/1999

First Named Inventor: Bertrand

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 05222.00153

(Use as many sheets as necessary)

Sheet \_\_\_\_\_ of \_\_\_\_\_

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Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Evaluating the effectiveness of feedback in SQL-tutor", IEEE, proc. Int. workshop IWALT, pp 143-144	
		"Automated Exercise Progression in Simulation-Based Training," IEEE Trans. On Systems, Man, and Cybernetics, Vol. 24(6), pp. 863-74, June 1994	
		"ACM Transactions on Information Systems," 1988 at <a href="http://www.acm.org/pubs/toc/Abstracts/tois/59298.html">www.acm.org/pubs/toc/Abstracts/tois/59298.html</a>	
		The Virtual Classroom: Great Expectations. Delivering Graduate Education by Computer: A Success Story, 1996.	
		"A Special Section -- Goal Based Scenarios: A New Approach to Professional Education: Reengineering Education at Andersen Consulting," Educational Technology, Nov.-Dec. 1994	
		"Goal-Based Scenarios and the Problem of Situated Learning: A Commentary on Andersen Consulting's Design of Goal-Based Scenarios," Educational Technology, Nov.-Dec. 1994	
		"DDD--A Free Graphical Front-End for UNIX Debuggers," Jan. 1996, ACM Sigplan Notices, Vol. 31, No. 1, pp. 22-27	
		"Automated Training of Legal Reasoning" at <a href="http://www.bileta.ac.uk/94papers/muntjew.html">http://www.bileta.ac.uk/94papers/muntjew.html</a>	
		"The Virtual Learning Environment System," 28th Annual Frontiers in Education Conference, Conference Proceedings, Vol. 2, Nov. 4-7, 1998	
		"Developing a Design System into an Intelligent Tutoring System," Int'l J. Engr. Eud., Vol. 13(5), pp-341-46, Dec. 1997	
		"CAPTOR a model for delivering web based intelligent tutoring system technology", IEEE Proc. DASC vol. 2, pp 5.C.4.1-5	

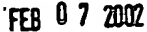
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**First Named Inventor: Bertrand**

**Group Art Unit: n/a**

Examiner Name: n/a

Attorney Docket Number: 05222.00153

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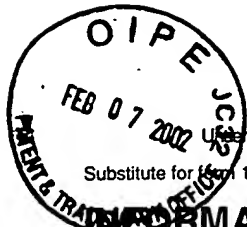
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		"Bridging the Virtual and the Physical: The InterSim as a Collaborative Support Interface," Proc. Of 1997 World Conf. On Artificial Intelligence in Education, pp. 556-58, Dec. 1997	
		"Task-Oriented Learning on the Web"; Innovations in Education and Training International, Vol. 36, No. 1, Feb. 1999	
		"Applications of BrainMaker Neural Network to stocks, business, medical, manufacturing" at <a href="http://www.calsci.com/Applications.html">www.calsci.com/Applications.html</a>	
		"KBLPS Overview" at <a href="http://www.cgi.com/CGIWEB/KBLPS/overindex4.html">www.cgi.com/CGIWEB/KBLPS/overindex4.html</a>	
		"Smartlaw: adapting classic expert system techniques for the legal research domain", ACM pp 133-141	
		"Interactive Multimedia Instructs the Individual," Oct. 1994, Occupational Health & Safety Vol. 63, No. 10, pp. 144-145	
		"Practical methods for automatically generating typed links", ACM Hypertext, pp 31-41	
		"Popular Theory Supporting the Use of Computer Simulation for Experiential Learning," <a href="http://www.centurionsys.com/rtd57.html">http://www.centurionsys.com/rtd57.html</a> , Aug. 1997	
		"Technical Report: Computer Aided Education and Training Initiative" at <a href="http://advlearn.lrdc.pitt.edu/advlearn/papers/FINALREP.html">http://advlearn.lrdc.pitt.edu/advlearn/papers/FINALREP.html</a>	
		"FRA: Teaching Financial Accounting with a Goal-Based Scenario," Intelligent Systems in Accounting, Finance and Management, Vol. 4, 1995	
		"Teaching Real-World Analysis Skills for Goal-Based Scenario," pp. 68-74	

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		US- 5,727,950	03/17/1998	Cook, et al.	
		US- 5,745,652	04/28/1998	Bigus	
		US- 5,772,446	06/30/1998	Rosen	
		US- 5,779,468	07/14/1998	Ho, et al.	
		US- 5,788,508	08/04/1998	Lee, et al.	
		US- 5,791,907	08/11/1998	Ramshaw, et al.	
		US- 5,799,151	08/25/1998	Hoffer	
		US- 5,799,292	08/25/1998	Hekmatpour	
		US- 5,806,056	09/08/1998	Hekmatpour	
		US- 5,810,747	09/22/1998	Brudney, et al.	
		US- 5,822,745	10/13/1998	Hekmatpour	
		US- 5,823,781	10/20/1998	Hitchcock, et al.	
		US- 5,823,788	10/20/1998	Lemelson, et al.	
		US- 5,835,683	11/10/1998	Corella, et al.	
		US- 5,868,575	02/09/1999	Kuczewski	
		US- 5,870,768	02/09/1999	Hekmatpour	
		US- 5,875,437	02/23/1999	Atkins	
		US- 5,889,845	03/30/1999	Staples, et al.	
		US- 5,893,123	04/06/1999	Tuinenga	
		US- 5,911,581	06/15/1999	Knight Jeremy, et al.	

FOREIGN PATENT DOCUMENTS						
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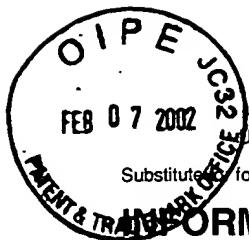
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		"The Roles of Artificial Intelligence in Education: Current Progress and Future Prospects" at <a href="http://www.nib.unicamp.br/recursos...education/intelligent-tutoring.html">http://www.nib.unicamp.br/recursos...education/intelligent-tutoring.html</a>	
		"WITS: A Reusable Architecture for a VR-Based ITS" at <a href="http://advlearn.lrdc.pitt.edu/its-arch/papers/tam.html">http://advlearn.lrdc.pitt.edu/its-arch/papers/tam.html</a>	
		"Brainmaker" at <a href="http://www.npiec.on.ca/~echoscan/28-04.htm">www.npiec.on.ca/~echoscan/28-04.htm</a>	
		"News for ESAP" at <a href="http://www.hops.wharton.upenn.edu/~esap/news.html">www.hops.wharton.upenn.edu/~esap/news.html</a>	
		"Learning with Computers," May 1994, Accountancy Vol. 113, No. 1209, pp. 60-64	
		"User-Sensitive Multimedia Presentation System," March 1, 1996, Vol. 39, No. 3, pp. 93-94	
		"Microworlds and Simuworlds: Practice Fields for the Learning Organization," Spring 1996, Organizational Dynamics Vol. 24, No. 4, pp. 36-49	
		"What are Intelligent Coaching Systems and Why are they (in)evitable?" IEEE Colloquium on Artificial Intelligence in Educational Software, pp. 2/1-2/5, June 1998	
		"Development of an Integrated Simulator and Real Time Plant Information System," Advances in Operational Safety of Nuclear Power Plants, Proceedings of an International Symposium 1996.	

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**Application Number : 09/868,694**

**Filing Date: 02/08/1999**

**First Named Inventor:** Bertrand

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 05222.00153

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,974,446	10/26/1999	Sonnenreich, et al.	
		US- 5,987,443	11/16/1999	Nichols, et al.	
		US- 6,003,021	12/14/1999	Zadik, et al.	
		US- 6,015,348	01/8/2000	Lambright, et al.	
		US- 6,016,486	01/18/2000	Nichols	
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		US- 6,023,691	02/08/2000	Bertrand et al.	
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		US- 6,032,141	02/29/2000	O'Connor, et al.	
		US- 6,064,998	05/16/2000	Zabloudil, et al.	
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		US- 6,073,127	06/06/2000	Lannert, et al.	
		US- 6,085,184	07/04/2000	Bertrand, et al.	

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<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Application Number : 09/868,694

Filing Date: 02/08/1999

First Named Inventor: Bertrand

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 05222.00153

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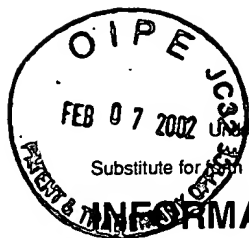
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		"A Goal-Centered Architecture for Intelligent Tutoring Systems," Proc. Of 7th World Conf. On Artificial Intelligence in Education, pp. 307-314, Aug. 1995	
		"Smart Avatars in JackMOO," Proceedings of the 1999 IEEE Conference on Virtual Reality, pp. 156-63	
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		Interactive Multimedia Distance Learning (IMDL): The Prototype of the Virtual Classroom, 1994.	
		"Understanding Organizational Dynamics of IT-Enabled Change: A Multipedia Simulation Approach," Winter 1997/1998, Journal of Management Information Systems: JMIS, Vol. 14, No. 3, pp. 109-140.	
		"Intelligent Computer-Aided Instruction: A Survey Organized Around System Components," Jan. 1989, IEEE Inc., New York, Vol. 49, No. 1, pp. 40-57.	

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First Named Inventor: Bertrand

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 05222.00153

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		Number - Kind Code <sup>2</sup> (if known)			
		US-6,101,489	08/01/2000	Lannert, et al.	
		US- 6,125,358	09/26/2000	Hubbell, et al.	
		US- 6,134,539	10/17/2000	O'Connor, et al.	
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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

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Application Number : 09/868,694

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First Named Inventor: Bertrand

Group Art Unit: n/a

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		"No More Boring CPE," July 1997, Accounting Technology, pp. 27-35	
		Computer Dictionary, 1997, pp. 264, 276, 383, 446, 462, 507.	
		"Rule-Based Programming with OPS5" at <a href="http://www.mkp.com/books_catalog/O-934613-51-6.asp">www.mkp.com/books_catalog/O-934613-51-6.asp</a>	
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		"MUSE U.S. Patents" at <a href="http://www.muser.com/html/patents.html">www.muser.com/html/patents.html</a>	
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First Named Inventor: Bertrand

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		"Engines for Education" URL: <a href="http://www.ils.nwu.edu/~e_for_e/nodes/I-M-INTRO-ZOOMER-pg.html">http://www.ils.nwu.edu/~e_for_e/nodes/I-M-INTRO-ZOOMER-pg.html</a> ; viewed Feb. 15, 1999.	
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		"Decision Pro3.0" at <a href="http://www.vanguardsw.com/">www.vanguardsw.com/</a>	

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		"The SimQuest Authoring System for Simulation-Based Discovery Learning," Proc. Of 1997 World Conf. On Artificial Intelligence in Education, pp. 79-86, Dec. 1997	
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		"Kiplinger TaxCut Press Releases" at <a href="http://www.taxcut.com/taxcut/98press_releases/pr98_nowshipping.html">http://www.taxcut.com/taxcut/98press_releases/pr98_nowshipping.html</a>	
		"TurboTax Deluxe Product Information" at <a href="http://www.intuit.com/turbotax/prodinfo/tldlx.html">http://www.intuit.com/turbotax/prodinfo/tldlx.html</a>	
		"Why Should the Teens Have All the Best Games? Management Skill with Oil, Health, Housing Games," Computergram Int'l, June 176, 1996	
		"Template Software Business Simulator, Chapter 8, August 1997	
		"Template Software Workflow Simulator, Chapter 8, June 1998	
		"Goal-Based Scenarios", Technical Report # 36, Northwestern University, The Institute for the Learning Sciences, December 1992, pp. 1-30	

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		"A Role for AI in Education: Using Technology to Reshape Education", Northwestern University, The Institute for the Learning Sciences, Journal of Artificial Intelligence in Education, Winter 1990, January 1990, pp. 1-24 and 2 pgs. of references	
		"The Design of Goal-Based Scenarios", Technical Report # 39, Northwestern University, The Institute for the Learning Sciences, March 1993, pp. 1-58	

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